



DT02 Rec'd PCT/PTO

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PATENT

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BCT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s)	Cowley, et al.	Examiner:	Unassigned
Serial No.:	10/511,794	Group/Art Unit:	Unassigned
Confirmation No:	Unassigned	Docket:	976-20 PCT/US
Filed:	October 19, 2004	Dated:	January 21, 2005
For:	SPECIFIC ANTIBODY FRAGMENTS FOR THE HUMAN CARCINOEMBRYONIC ANTIGEN (CEA)		

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

*I hereby certify this correspondence is being deposited
with the United States Postal Service as first class mail,
postpaid in an envelope, addressed to:
Commissioner for Patents, P.O. Box 1450,
Alexandria, Virginia 22313-1450
on January 21, 2005*

Signed: Julie L. Watts**INFORMATION DISCLOSURE STATEMENT**

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56, Applicants submit herewith the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

NON-PATENT PUBLICATIONS

1. Holliger, Philipp, et al., "“Diabodies”: Small bivalent and bispecific antibody fragments”, *Proc. Natl. Acad. Sci. USA* 1993, 90:6444-6448.

2. Kim, Se-Ho, et al., "Characterization of Monoclonal Antibodies Against Carcinoembryonic Antigen (CEA) and Expression in *E. coli*", *Hybridoma* 2001, 20(4):265-272.

The above-referenced documents are listed on Form PTO 1449. We have enclosed the cited documents to facilitate reference to them.

Applicants are not aware of any other references to be identified at this time. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' agent at the telephone number set forth below.

Respectfully submitted,



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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(Rev. 2-32) PATENT AND TRADEMARK OFFICE



INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Use several sheets if necessary)

ATTY. DOCKET NO.
976-20 PCT/US

SERIAL NO.
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APPLICANT
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		1.	Holliger, Philipp, et al., ""Diabodies": Small bivalent and bispecific antibody fragments", <i>Proc. Natl. Acad. Sci. USA</i> 1993, 90:6444-6448.
		2.	Kim, Se-Ho, et al., "Characterization of Monoclonal Antibodies Against Carcinoembryonic Antigen (CEA) and Expression in <i>E. coli</i> ", <i>Hybridoma</i> 2001, 20(4):265-272.

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.